



# Corrections and Community Supervision

## DOCCS FACT SHEET

August 1, 2023

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 44 facilities that house 32,327 incarcerated individuals and 25,283 parolees under DOCCS' responsibility.

### Prison Safety

| Statewide Assaults                             |       |       |       |       |       |       |
|--|-------|-------|-------|-------|-------|-------|
|  | 2018  | 2019  | 2020  | 2021  | 2022  | 2023  |
| Assaults on Staff Statewide                    | 990   | 1,043 | 1,052 | 1,177 | 1,473 | 854   |
| Assaults on Incarcerated Individuals Statewide | 1,166 | 1,267 | 1,206 | 1,107 | 1,488 | 1,169 |

| Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2018-2023 |      |      |       |       |       |          |
|--|------|------|-------|-------|-------|----------|
|  | 2018 | 2019 | 2020  | 2021  | 2022  | 2023 YTD |
| Assaults on Staff Statewide  | 990  | 1043 | 1,052 | 1,177 | 1,473 | 854      |
| Maximum-Security*  | 722  | 769  | 774   | 887   | 1,019 | 493      |
| Medium-Security  | 237  | 249  | 262   | 286   | 430   | 346      |

\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

| 2022 2 <sup>nd</sup> Quarter Assaults |     |     |     |  |       |
|---------------------------------------|-----|-----|-----|--|-------|
|                                       | Apr | May | Jun |  | Total |
| Assault on Incarcerated Individual    | 157 | 208 | 180 |  | 545   |
| Assault on Staff                      | 112 | 112 | 147 |  | 371   |
| Total                                 | 269 | 320 | 327 |  | 916   |

| <b>Assault Degree of Injury to Staff</b>  |            |             |             |  |              |
|---|------------|-------------|-------------|--|--------------|
| <b>Incident Type: Staff Injuries during an Incarcerated Individual on Incarcerated Individual Assault</b> |            |             |             |  |              |
|   | <b>May</b> | <b>June</b> | <b>July</b> |  | <b>Total</b> |
| <b>No Injury</b>  | 741        | 620         | 750         |  | <b>2,111</b> |
| <b>Minor*</b>   | 19         | 15          | 15          |  | <b>49</b>    |
| <b>Moderate**</b>   | 0          | 1           | 0           |  | <b>1</b>     |
| <b>Serious***</b>   | 0          | 0           | 0           |  | <b>0</b>     |
| <b>Severe****</b>   | 0          | 0           | 0           |  | <b>0</b>     |
|   |            |             |             |  |              |
| <b>Total</b>  | <b>760</b> | <b>636</b>  | <b>765</b>  |  | <b>2,161</b> |
|   |            |             |             |  |              |
| <b>Incident Type: Staff Injuries during an Incarcerated Individual on Staff Assault</b>                   |            |             |             |  |              |
|   | <b>May</b> | <b>June</b> | <b>July</b> |  | <b>Total</b> |
| <b>No Injury</b>  | 491        | 550         | 547         |  | <b>1,588</b> |
| <b>Minor*</b>   | 149        | 204         | 215         |  | <b>568</b>   |
| <b>Moderate**</b>   | 17         | 21          | 13          |  | <b>51</b>    |
| <b>Serious***</b>   | 4          | 10          | 2           |  | <b>16</b>    |
| <b>Severe****</b>   | 0          | 0           | 0           |  | <b>0</b>     |
|   |            |             |             |  |              |
| <b>Total</b>  | <b>661</b> | <b>785</b>  | <b>777</b>  |  | <b>2,223</b> |

Note: This table is based upon the number of staff injuries sustained in assaults on staff and assaults on incarcerated individuals each month and not the number of incidents.

Effective October 1, 2014, per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

## **Escape Incidents**

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## **Prison Closures**

- Since 1999, New York's prison population has declined by 55.5 percent, from a high of 72,649 incarcerated individuals to 32,327 (8/1/23).
- Since 2011, the State has eliminated more than 13,000 prison beds and closed a total of 24 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$442 million.
- DOCCS security staff reductions have been consistent with incarcerated population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

| DOCCS Security Staff and Incarcerated Population |   |                |                |                         |                |                |   |
|--|---|----------------|----------------|-------------------------|----------------|----------------|---|
| December   | Correction Officers<br>Sergeants<br>Lieutenants<br>Positions Filled | Numeric Change | Percent Change | Incarcerated Population | Numeric Change | Percent Change | Staffing Ratio<br>Incarcerated<br>Population<br>Versus<br>Filled Uniform Staff<br>Positions |
| 2003   | 20,794  | -464           | -2.2%          | 66,110                  | -1,549         | -2.3%          | 3.2   |
| 2004   | 21,068  | 274            | 1.3%           | 64,905                  | -1,205         | -1.8%          | 3.1   |
| 2005   | 21,420  | 352            | 1.7%           | 63,930                  | -975           | -1.5%          | 3.0   |
| 2006   | 21,505  | 85             | 0.4%           | 64,410                  | 480            | 0.8%           | 3.0   |
| 2007   | 21,414  | -91            | -0.4%          | 63,425                  | -985           | -1.5%          | 3.0   |
| 2008   | 21,404  | -10            | 0.0%           | 60,933                  | -2,492         | -3.9%          | 2.8   |
| 2009   | 20,602  | -802           | -3.7%          | 59,279                  | -1,654         | -2.7%          | 2.9   |
| 2010   | 20,652  | 50             | 0.2%           | 57,229                  | -2,050         | -3.5%          | 2.8   |
| 2011   | 19,647  | -1,005         | -4.9%          | 55,979                  | -1,250         | -2.2%          | 2.8   |
| 2012   | 19,192  | -455           | -2.3%          | 54,865                  | -1,114         | -2.0%          | 2.9   |
| 2013   | 19,145  | -47            | -0.2%          | 54,142                  | -723           | -1.3%          | 2.8   |
| 2014   | 19,002  | -143           | -0.7%          | 53,103                  | -1,039         | -1.9%          | 2.8   |
| 2015   | 19,360  | 358            | 1.9%           | 52,344                  | -759           | -1.4%          | 2.7   |
| 2016   | 19,233  | -127           | -0.7%          | 51,466                  | -878           | -1.7%          | 2.7   |
| 2017   | 19,242  | 9              | 0.0%           | 50,271                  | -1,195         | -2.3%          | 2.6   |
| 2018   | 19,295  | 53             | 0.3%           | 47,459                  | -2,812         | -5.6%          | 2.5   |
| 2019   | 19,072  | -223           | -1.2%          | 44,334                  | -3,125         | -6.6%          | 2.3   |
| 2020   | 18,541  | -531           | -2.8%          | 34,446                  | -9,888         | -22.3%         | 1.9   |
| 2021   | 17,415  | -1,126         | -6.1%          | 30,746                  | -3,700         | -10.7          | 1.8   |
| 2022   | 16,178  | -1,237         | -7.1%          | 31,329                  | 583            | 1.8%           | 1.9   |

| Staffing and Population Differences             |          |        |                    |                |
|---|----------|--------|--------------------|----------------|
|   | 12/31/99 | 8/1/23 | Numeric Difference | Percent Change |
| Correction Officers<br>Sergeants<br>Lieutenants | 22,112   | 15,626 | 6,486              | -29.3%         |
| Incarcerated Individuals                        | 72,649   | 32,327 | 40,322             | -55.5%         |

**DOCCS Correctional Facility Security Personnel**  
Council 82/NYSCOPBA Staff

|             | 2010   | 2011   | 2012   | 2013   | 2014   | 2015   | 2016   | 2017   | 2018   | 2019   | 2020   | 2021   | 2022   | 2023   |
|-------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|--------|
| Council 82  |        |        |        |        |        |        |        |        |        |        |        |        |        |        |
| Lieutenants | 521    | 458    | 483    | 482    | 472    | 475    | 471    | 480    | 478    | 470    | 436    | 413    | 407    | 426    |
|             |        |        |        |        |        |        |        |        |        |        |        |        |        |        |
| NYSCOPBA    |        |        |        |        |        |        |        |        |        |        |        |        |        |        |
| Sergeants   | 1,256  | 1,169  | 1,196  | 1,190  | 1,175  | 1,172  | 1,179  | 1,193  | 1,184  | 1,171  | 1,094  | 1,028  | 1,052  | 1,036  |
| Officers    | 18,930 | 17,945 | 17,741 | 17,627 | 17,569 | 17,635 | 17,601 | 17,581 | 17,528 | 17,431 | 17,071 | 15,974 | 14,846 | 14,164 |
| Subtotal    | 20,186 | 19,114 | 18,937 | 18,817 | 18,744 | 18,807 | 18,780 | 18,774 | 18,712 | 18,602 | 18,165 | 17,002 | 15,898 | 15,200 |
|             |        |        |        |        |        |        |        |        |        |        |        |        |        |        |
| Total       | 20,707 | 19,572 | 19,420 | 19,300 | 19,216 | 19,282 | 19,251 | 19,254 | 19,190 | 19,072 | 18,601 | 17,415 | 16,305 | 15,626 |

**Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense  
In Male Maximum and Medium Security Facilities  
August 1<sup>st</sup> of Selected Years (Males Only)**

|                    | 2013 YTD       |                          |       | 2018 YTD |                          |       | 2023 YTD |                          |       |
|--------------------|----------------|--------------------------|-------|----------|--------------------------|-------|----------|--------------------------|-------|
|                    | Number of VFOs | Incarcerated Individuals | %     | VFO      | Incarcerated Individuals | %     | VFO      | Incarcerated Individuals | %     |
| Maximum Facilities | 18,670         | 22,885                   | 81.6% | 16,979   | 20,706                   | 82.0% | 12,668   | 14,481                   | 87.5% |
| Medium Facilities  | 15,289         | 27,139                   | 56.3% | 13,398   | 23,814                   | 56.3% | 10,149   | 15,739                   | 64.5% |

**SHU Cell Occupants  
Effective Beginning of Business**

| Inmate Status  | 5/1/2023 | 6/1/2023 | 7/1/2023 | 8/1/2023 |
|--|----------|----------|----------|----------|
| SHU Disciplinary Sanction                                  | 225      | 218      | 338      | 218      |
| KEEPLOCK Disciplinary Sanction                             | 0        | 0        | 0        | 0        |
| Administrative Segregation                                 | 0        | 0        | 0        | 0        |
| Involuntary Protective Custody                             | 0        | 0        | 0        | 0        |
| Pending Disciplinary Hearing                               | 143      | 134      | 101      | 187      |
| Pending Movement From Unit                                 | 0        | 2        | 2        | 1        |
| Pending Investigation                                      | 0        | 0        | 0        | 0        |
| Special Watch  | 0        | 0        | 0        | 0        |
| Voluntary Protective Custody                               | 0        | 0        | 0        | 0        |
| TOTAL SHU Cell Occupants<br>(Excluding Youthful Offenders) | 368      | 354      | 441      | 406      |

**Statewide Incarcerated Individuals Convicted of a Violent Felony Offense**

| End of Year       | 2019   | 2020   | 2021   | 2022   | 2023 YTD |
|-------------------|--------|--------|--------|--------|----------|
| Violent Offenders | 29,623 | 25,193 | 22,706 | 23,208 | 23,731   |

| 2018 Releases by Return Rate    |                |                |       |                            |       |                             |       |
|---------------------------------|----------------|----------------|-------|----------------------------|-------|-----------------------------|-------|
| Release Type And Release Cohort | Total Released | Total Returned |       | Return Type New Commitment |       | Return Type Parole Violator |       |
| 2018 Releases                   | 20,921         | 6,613          | 31.6% | 1,198                      | 5.7%  | 5,415                       | 25.9% |
| Parole                          | 9,804          | 3,889          | 39.7% | 528                        | 5.4%  | 3,361                       | 34.3% |
| Conditional Release             | 9,173          | 2,473          | 27.0% | 419                        | 4.6%  | 2,054                       | 22.4% |
| Maximum Expiration              | 1,944          | 251            | 12.9% | 251                        | 12.9% | N/A                         | N/A   |

**Note:** DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated individual's sanctioned release to the community.

**Note:** DOCCS followed a 2018 release cohort of formerly incarcerated individuals for three years to obtain the return rate data in the above table.

| Community Supervision Staffing and Cases by Region/Bureau*                    |                    |  |
|---|--------------------|--|
| (start of month data - excludes staff and parolees in the revocation process) |                    |  |
| Region/Bureau   | Number of Parolees | Number of Parole Officers & Senior Parole Officers |
| <b>Bronx Region</b>   |                    |  |
| Bureau II   | 486                | 19   |
| Bureau III  | 442                | 11   |
| Bureau IV   | 609                | 16   |
| Bureau V  | 521                | 15   |
|   |                    |  |
| <b>Brooklyn Region</b>  |                    |  |
| Bureau I  | 455                | 15   |
| Bureau II   | 501                | 10   |
| Bureau III  | 507                | 13   |
| Bureau IV   | 595                | 15   |
|   |                    |  |
| <b>Central New York</b>   |                    |  |
| Syracuse  | 788                | 28   |
| Utica   | 651                | 18   |
| Watertown Sub   | 438                | 13   |
| Northern Front  | 229                | 7  |
| Elmira  | 425                | 15   |
| Binghamton Sub  | 283                | 10   |
|   |                    |  |
| <b>Hudson Valley Region</b>   |                    |  |
| New Rochelle  | 525                | 12   |
| Peekskill Sub   | 122                | 6  |
| Albany  | 829                | 23   |
| Poughkeepsie  | 894                | 19   |
| Albany Belt   | 855                | 23   |
|   |                    |  |
| <b>Manhattan/Staten Island</b>  |                    |  |
| Manhattan Bureau II   | 484                | 8  |

|                           |     |    |
|---------------------------|-----|----|
| Manhattan Bureau III      | 501 | 10 |
| Manhattan Bureau IV       | 340 | 12 |
| Manhattan Bureau VI       | 626 | 19 |
| Staten Island Sub         | 300 | 9  |
|                           |     |    |
| <b>Queens/Long Island</b> |     |    |
| Queens Bureau I           | 818 | 17 |
| Queens Bureau II          | 718 | 20 |
| Suffolk                   | 803 | 22 |
| Nassau                    | 480 | 18 |
|                           |     |    |
| <b>Western Region</b>     |     |    |
| Buffalo Metro             | 618 | 18 |
| Niagara Frontier          | 302 | 9  |
| Rochester Metro           | 486 | 15 |
| Rochester Belt            | 403 | 13 |
| Rochester SOU             | 542 | 23 |
| Southern Tier Sub         | 463 | 12 |

**\*Average case load size cannot be determined using this data**